

1 UNITED STATES COURT OF APPEALS
2 FOR THE SECOND CIRCUIT

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4 August Term, 2006

5
6 (Argued: November 15, 2006 Decided: January 17, 2007)

7
8 Docket No. 05-6944-cv

9 -----X
10 JANKI BAI SAHU, SHANTI BAI, MUNEE BI, QAMAR SULTAN, FIRDAUS BI,
11 NUSRAT JAHAN, PAPPU SINGH, JAMEELA BI, MEENU RAWAT, BANO BI,
12 MAKSOOD AHMED, BABU LAL and KAVAL RAM,

13
14 Plaintiffs-Appellants,

15 - v. -

16 UNION CARBIDE CORPORATION AND WARREN ANDERSON,

17 Defendants-Appellees.

18 -----X
19 Before: McLAUGHLIN, SACK, Circuit Judges, and RAKOFF, District
20 Judge.*

21
22 Plaintiffs appeal from a grant of partial summary judgment
23 for defendants by the United States District Court for the
24 Southern District of New York (Keenan, J.).

25 DISMISSED.

26 RICHARD S. LEWIS, Cohen, Milstein,
27 Hausfeld & Toll, P.L.L.C. (Matthew
28 K. Handley and Reena Gambhir, on

*The Honorable Jed S. Rakoff of the United States District Court for the Southern District of New York, sitting by designation.

1 the brief), New York, New York, for
2 Plaintiffs-Appellants.

3
4 WILLIAM A. KROHLEY, Kelly Drye &
5 Warren, LLP (William C. Heck, on
6 the brief), New York, New York, for
7 Defendants-Appellees.

8
9 Richard A. Bieder, Neal A. DeYoung,
10 and Sean K. McElligott, Koskoff,
11 Koskoff & Bieder, P.C., Bridgeport,
12 Connecticut, for Amici Curiae
13 Members of Congress, in support of
14 Plaintiffs-Appellants.

15
16 Hari M. Osofsky, University of
17 Oregon School of Law, Eugene,
18 Oregon, for Amici Curiae
19 International Law Professors and
20 other International Law Experts, in
21 support of Plaintiffs-Appellants.

22
23 Martin Wagner, EarthJustice,
24 Oakland, California, for Amici
25 Curiae International Law Professors
26 and other International Law
27 Experts, in support of Plaintiffs-
28 Appellants.

29
30 Daniel B. Magraw, Jr., The Center
31 for International Environmental
32 Law, Washington, DC, for Amici
33 Curiae International Law Professors
34 and other International Law
35 Experts, in support of Plaintiffs-
36 Appellants.

37
38 PER CURIAM:

39 Plaintiffs appeal from an order of the United States
40 District Court for the Southern District of New York (Keenan,
41 J.), granting partial summary judgment for defendants. See Sahu
42 v. Union Carbide Corp., 418 F. Supp. 2d 407 (S.D.N.Y. 2005).
43 Because the grant of partial summary judgment was neither a final

1 order under 28 U.S.C. § 1291 nor an appealable interlocutory
2 order under 28 U.S.C. § 1292(a)(1), we dismiss the appeal for
3 lack of appellate jurisdiction.

4 **BACKGROUND**

5 In November 2004, residents and property owners in Bhopal,
6 India, filed a class action in the United States District Court
7 for the Southern District of New York (Keenan, J.) seeking
8 monetary and equitable relief under New York common law. The
9 plaintiffs alleged that a chemical plant in Bhopal, owned and
10 operated by Union Carbide India Limited ("UCIL"), a subsidiary of
11 defendant Union Carbide Corporation ("Union Carbide"),
12 contaminated the soil and groundwater supply by storing hazardous
13 waste on the plant site, thereby injuring the communities'
14 residents.

15 The plaintiffs sought relief on four theories: (1) that
16 Union Carbide was a direct participant and joint tortfeasor in
17 the activities that resulted in the pollution; (2) that Union
18 Carbide worked in concert with UCIL to cause, exacerbate, and
19 conceal the pollution problem; (3) that UCIL acted as Union
20 Carbide's agent; and (4) that UCIL acted as Union Carbide's
21 alter-ego, justifying the piercing of UCIL's corporate veil.
22 Plaintiffs requested, inter alia, a permanent injunction
23 requiring plant-site and off-site remediation and medical
24 monitoring.

1 In August 2005, defendants moved for summary judgment on the
2 corporate veil-piercing claim and to dismiss the rest of the
3 claims under Rule 12(b)(6) of the Federal Rules of Civil
4 Procedure.

5 In December 2005, the district court converted the 12(b)(6)
6 motion into a summary judgment motion and granted defendants
7 summary judgment on all claims except the corporate veil-piercing
8 claim. Sahu, 418 F. Supp. 2d at 416. The district court
9 reserved its decision on the corporate veil-piercing claim and
10 granted plaintiffs additional discovery. Id. at 415-16.

11 The plaintiffs now appeal the grant of partial summary
12 judgment.

13 After this appeal was heard on November 15, 2006, the
14 district court granted summary judgment for defendants on the
15 remaining corporate veil-piercing claim and entered final
16 judgment. See Sahu v. Union Carbide Corp., No. 04 Civ.
17 8825(JFK), 2006 WL 3377577, at *1 (S.D.N.Y. Nov. 20, 2006).

18 **DISCUSSION**

19 The plaintiffs argue that this Court has appellate
20 jurisdiction over their injunctive relief claims pursuant to 28
21 U.S.C. § 1292(a)(1). Based on this alleged appellate
22 jurisdiction, the plaintiffs ask the Court to exercise pendent
23 appellate jurisdiction over their remaining claims. We cannot.

24 This Court normally has appellate jurisdiction over only

1 final decisions of district courts. 28 U.S.C. § 1291 (2000).
2 This Court, however, can exercise appellate jurisdiction over
3 “[i]nterlocutory orders of the district courts . . . granting,
4 continuing, modifying, refusing or dissolving injunctions, or
5 refusing to dissolve or modify injunctions.” 28 U.S.C. §
6 1292(a)(1) (2000). Nevertheless, “not all denials of injunctive
7 relief are immediately appealable.” See Stringfellow v.
8 Concerned Neighbors in Action, 480 U.S. 370, 379 (1987).

9 Section 1292(a)(1) functions only as a “narrowly tailored
10 exception” to the “policy against piecemeal appellate review.”
11 Huminski v. Rutland City Police Dep’t, 221 F.3d 357, 359 (2d Cir.
12 2000) (quoting Cuomo v. Barr, 7 F.3d 17, 19 (2d Cir. 1993)).
13 When an order does not involve a “denial of a motion specifically
14 addressed to injunctive relief,” Volvo N. Am. Corp. v. Men’s
15 Int’l Prof’l Tennis Council, 839 F.2d 69, 75 (2d Cir. 1988), a
16 party must show that the interlocutory order: (1) “might have a
17 serious, perhaps irreparable consequence”; and (2) “can be
18 effectually challenged only by immediate appeal.” Carson v. Am.
19 Brands, Inc., 450 U.S. 79, 84 (1981) (internal quotation marks
20 omitted); see also Zervos v. Verizon N.Y., Inc., 277 F.3d 635,
21 644-45 (2d Cir. 2002). Indeed, “[w]here the denial of a
22 permanent injunction is the result of a grant of partial summary
23 judgment and there is no final judgment, we lack appellate
24 jurisdiction” unless the party satisfies the two Carson

1 requirements. Huminski, 221 F.3d at 359 (quoting Cuomo, 7 F.3d
2 at 19).

3 Because the corporate veil-piercing claim remained
4 unresolved, the order appealed from here was not a final order.
5 This is obviously not an order denying a motion specifically
6 addressed to injunctive relief. As for appellate jurisdiction
7 under 28 U.S.C. § 1292(a)(1), plaintiffs may face “serious,
8 perhaps irreparable consequence” because the district court order
9 entirely disposed of their prayer for injunctive relief. See
10 Volvo N. Am. Corp., 839 F.2d at 75-76 (noting that where an order
11 entirely disposes of a party’s prayer for injunctive relief, “the
12 irreparable harm which Carson [required] . . . is present”).

13 Nonetheless, plaintiffs have failed to show that the denial
14 of the permanent injunctive relief sought here, remediation and
15 medical monitoring, needs immediate review to be effectively
16 challenged. The plaintiffs have not shown that we are “deal[ing]
17 with circumstances of some urgency.” Huminski, 221 F.3d at 360.
18 Indeed, plaintiffs never: (1) filed for preliminary injunctive
19 relief; (2) requested expedited trial or review proceedings; or
20 (3) requested certification pursuant to 28 U.S.C. § 1292(b) or
21 Federal Rule of Civil Procedure 54(b). See id. at 360-61.
22 Finally, there is no allegation that remediation and medical
23 monitoring are necessary immediately, rather than at the end of
24 trial and appeal. In sum, plaintiffs have not shown that all the

1 relief sought will be unavailable if we wait until after the
2 district court proceedings are final before hearing an appeal.
3 See Cuomo, 7 F.3d at 19. As a result, we lack appellate
4 jurisdiction to hear plaintiffs' appeal under 28 U.S.C. §
5 1292(a)(1).

6 In addition, subsequent entry of final judgment will cure a
7 premature notice of appeal only if: (1) the judgment was entered
8 before the appeal was heard; and (2) the appellee suffered no
9 prejudice. Swede v. Rochester Carpenters Fund, 467 F.3d 216, 220
10 (2d Cir. 2006) (citation omitted); Bouboulis v. Transp. Workers
11 Union of Am., 442 F.3d 55, 60 (2d Cir. 2006) (citation omitted).

12 Final judgment was not entered by the district court until
13 after we heard the appeal. Thus, the jurisdictional defects of
14 plaintiffs' interlocutory appeal remain uncured. See Swede, 467
15 F.3d at 220.

16 Finally, since we do not have proper appellate jurisdiction
17 over plaintiffs' injunctive relief claims, we cannot exercise
18 pendent appellate jurisdiction over their remaining claims.

19 **CONCLUSION**

20 For the foregoing reasons, we dismiss plaintiffs' appeal for
21 lack of appellate jurisdiction.